

[Community]
Compliance Report to the Board or Committee
[Date]

Topic	Discussion
<p>Work Plan Progress and Updates <i>(Provide information on the progress of the workplan and any changes)</i> Attach a copy of the workplan</p>	
<p>Audit and Survey Results <i>(Brief summary of any internal/external audits or surveys and the results)</i></p>	
<p>Regulatory Changes <i>(List any new or changed regulations and the impact to the community)</i></p>	
<p>Quality of Care and Safety <i>(Include updates on PIP and concerns/activities from QAA/QAPI)</i></p>	
<p>HIPAA Privacy and Security Updates <i>(Include privacy/security incidents or breaches, progress of security risk assessment and any internal testing)</i></p>	
<p>Repayments/Billing Concerns <i>(Report any notable and/or identified trends. Include information on payback, ADRs, TPE audits, etc.)</i></p>	

<p>Sanction Screening <i>(All employees, vendors, board members at hire and monthly. Note any exclusions and dates reviewed)</i></p>	
<p>Annual Compliance and HIPAA education <i>(provide completion rate and any role specific education/training)</i></p>	
<p>Compliance Line Calls <i>(include topic of call and if the concern was substantiated/unsubstantiated)</i></p>	